JM Financial Group

Financial Services for private investors, institutional investors and ASX listed companies

OUR COMPANY

OUR SERVICES

Wealth Management | Portfolio Administration

FEES

BECOMING A CLIENT

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OUR COMPANY

JM Financial Group is an Australian owned independent advisory firm based in Melbourne, Australia. JM Financial Group offers a range of financial services to private investors, institutional investors, and ASX listed companies.

The origins of JM Financial Group date back to 1991 when it operated as Lowell Asset Management, providing tailored solutions for wealth creation to wholesale investors. In 2000, the company changed its name to JM Financial Group following the buy-out of the major shareholder by a group of staff members.

To this day, JM Financial Group continues to provide wealth management, share advisory, portfolio administration and corporate advisory services to a range of retail, wholesale and corporate investors throughout Australia.

Clients of JM Financial Group benefit not only from the experience of their Investment Adviser, but also from a dedicated team of support staff who assist with the day-to-day management and administration of investor accounts.

When you join JM Financial Group, you are partnering with a team of professionals who are actively involved in your account, ensuring that the service you receive is highly personalised.

Operating Philosophy of JM Financial Group

- JM Financial Group delivers a personalised service, where financial objectives, financial situation and lifestyle goals are taken into account before delivering an investment strategy
- Clients receive ongoing investment advice and regular reviews
- Confidentiality, privacy and operational transparency are of paramount importance to the firm
- Flexible fee structures

Services Offered:

JM Financial Group offers the following services:

- Wealth Management
- Portfolio Administration

OUR SERVICES

Wealth Management

JM Financial Group offers a wealth management service to wholesale and retail investors through its Discretionary Portfolio Administration Service (DPAS). The DPAS provides you with a tailored portfolio which reflects your financial goals, lifestyle choices and tolerance for risk. JM Financial Group manages the portfolio for you and can make all investment decisions on your behalf except where you instruct otherwise.

In addition to constructing and managing your portfolio, the DPAS undertakes all administrative and reporting functions, including dividend payments, corporate actions, tax accounting, performance reports, and quarterly and annual reports.

As the administrator of the DPAS, JM Financial Group is responsible for making investment decisions and monitoring the performance of your portfolio. Performance reports are sent to you quarterly and annually.

JM Financial Group has appointed an external custodian, Sandhurst Trustees Limited, a wholly owned subsidiary of Bendigo Bank Limited, which will securely hold, for your benefit, the shares and cash in your DPAS portfolio.

The DPAS is open to both retail and wholesale investors.

Benefits of the Discretionary Portfolio Administration Service:

- Tailored strategy to suit your lifestyle and financial objectives
- Full administration management
- Portfolio performance reporting on a quarterly and financial tax year basis
- All portfolio investment decisions can be made by your Investment Adviser
- All administration is handled in-house to ensure your personal information remains confidential

Reports Provided by JM Financial Group:

- Portfolio Appraisals
- Performance Reports
- Transaction Reports
- Income Summary
- Capital Gains/Losses Reporting
- Cash Ledger
- Ad-hoc reports on request

Portfolio Administration

JM Financial Group offers comprehensive investor-directed portfolio management through its Portfolio Administration Service (PAS). The PAS provides performance tracking and reporting for your portfolio, and allows you to buy and sell shares with or without financial advice.

The PAS undertakes all administrative and reporting functions, including dividend payments, corporate actions, tax accounting, performance reports, and quarterly and annual reports.

As the administrator of the PAS, JM Financial Group is responsible for maintaining your records, share portfolio reporting, and carrying out your instructions or the instructions of your authorised representatives.

JM Financial Group has appointed an external custodian, Sandhurst Trustees Limited, a wholly owned subsidiary of Bendigo Bank Limited, who will securely hold, for your benefit, the shares and cash in your PAS portfolio.

Benefits of the Portfolio Administration Service:

- Full administration management
- No company paperwork
- Dividend statements and corporate action notices are never missed
- Choose the stocks you want to invest in, with the option of investment advice
- Direct liaison between your accountant and JM Financial Group

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FEES

Fees vary depending on your needs and objectives. Your fee structure is aligned to the way you wish your account to be managed and depends on the type of service you choose.

Four types of fees exist for our equity market services; transactional fees (such as brokerage and order fees), management advisory fees, administrative fees, and performance fees.

Management and administrative fees are normally levied as a percentage of funds under management, while transactional fees are related to the value of a trade or the cost of execution of a trade.

In either Wealth Management or Portfolio Administration Service, JM Financial Group can arrange an appropriate fee structure to suit your investment requirements.

BECOMING A CLIENT

When you become a Client of JM Financial Group, you benefit from a team approach to managing your financial affairs. JM Financial Group is focused on delivering the best service possible and is committed to fostering long term relationships with its clients.

As a Client you can expect to receive:

- Active and on-going monitoring of your portfolio objectives by your Investment Adviser
- Regular contact from the JM Financial Group management team
- Online access to personal portfolio valuations and share trading positions
- A direct line to your JM Financial Group Investment Adviser

Call our office today and ask to speak to an Investment Adviser to find out how we can assist you in achieving your financial goals.